



#3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Judith K. Gwathmey**Serial No.:** 09/751,769**Filed:** December 29, 2000**For:** "Iron Chelator Delivery System"**Attorney Docket No.:** JGT-002**Group Art Unit:** 1614**Examiner:** Not Yet Assigned

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL OF SUBSTITUTE DRAWINGS

Dear Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated February 16, 2001, transmitted herewith are sixteen (16) sheets of Substitute Drawings (Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17 and 18). Applicant respectfully submits that the drawings are in compliance with 37 C.F.R. §1.84. Applicant requests that the substitute drawings be filed in the above-referenced patent application. A copy of Notice to File Missing Parts of Nonprovisional Application (Formalities Letter) is also enclosed. *No new matter has been added.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231:

April 12, 2001

Date

Jeanne M. DiGiorgio, Esq.

Reg. No. 41,710

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Jeanne M. DiGiorgio
Attorney for Applicant
Registration No. 41,710

Date: April 12, 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: Judith K. Gwathmey

Serial No.: 09/751,769

Filed: December 29, 2000

For: "Iron Chelator Delivery System"

Attorney Docket No.: JGT-002

Group Art Unit: 1614

Examiner: Not Yet Assigned

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

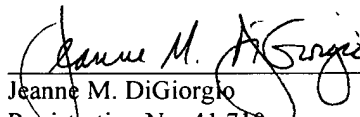
Certificate of First Class Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

April 12, 2001

Date of Signature and of Mail Deposit

By:


Jeanne M. DiGiorgio
Registration No. 41,710
Attorney for Applicant

RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION

Dear Sir:

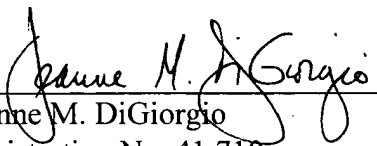
Responsive to the Notice to File Missing Parts of Nonprovisional Application dated February 16, 2001, Applicant's attorney submits herewith an *executed* Declaration, Petition and Power of Attorney, sixteen (16) sheets of Substitute Drawings (Figures 1-18) in compliance with 37 C.F.R. §1.84 and a Check in the amount of **\$65.00** (surcharge) based on small entity status.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. §§1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080.

Respectfully submitted,

LAHIVE & COCKFIELD, LLP



Jeanne M. DiGiorgio
Registration No. 41,710
Attorney for Applicant

LAHIVE & COCKFIELD, LLP
28 State Street
Boston, MA 02109
Tel. (617) 227-7400

Date: **April 12, 2001**

13

LAHIVE
&
COCKFIELD
L L P

COUNSELLORS AT LAW
28 STATE STREET
BOSTON, MASSACHUSETTS 02109-1784
TELEPHONE (617) 227-7400
FAX (617) 742-4214
lc@lahcoc.com

JOHN A. LAHIVE, JR. (1928-1997)
THOMAS V. SMURZYNSKI
RALPH A. LOREN
GIULIO A. DeCONTI, JR.
ANN LAMPORT HAMMITTE
ELIZABETH A. HANLEY
AMY BAKER MANDRAGOURAS
ANTHONY A. LAURENTANO
KEVIN J. CANNING
JANE E. REMILLARD
DeANN FORAN SMITH
JEANNE M. DIGIORGIO
DEBRA J. MILASINIC, Ph.D.
NICHOLAS P. TRIANO, III
DAVID J. RIKKERS
DAVID R. BURNS
JOHN S. CURRAN

SEAN D. DETWEILER
ADAM M. GOODMAN
CYNTHIA L. KANIK, Ph.D.
MEGAN E. WILLIAMS, Ph.D.
RICH A. NAND
MICHAEL PHILLIPPS

SENIOR COUNSEL
JAMES E. COCKFIELD

OF COUNSEL
JEREMIAH LYNCH
WILLIAM A. SCOFIELD, JR.
PETER C. LAURO *

PATENT AGENTS
PETER S. STECHER

Section

TECHNICAL SPECIALISTS
MARIA LACCOTRIPE ZACHARAKIS, Ph.D.
CYNTHIA M. SOROOS
PETER W. DINI, Ph.D.
EUIHOON LEE
LISA M. DIROCCO
JENNIFER K. ROSENFIELD
JUDITH STONE-HULSLANDER, Ph.D.
ALLAN TAMESHTIT, Ph.D.
CATHERINE E. McPHERSON
ERIC F. WAGNER, Ph.D.
SHAHID HASAN, Ph.D.
ASHITA DOSHI, Ph.D.
JACOB G. WEINTRAUB

* Admitted in NY only
** Passed the Patent Bar Examination

April 12, 2001

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application No. 09/751,769
Title: "Iron Chelator Delivery System"
Inventor: Judith K. Gwathmey
Filing Date: December 29, 2000
Our Reference No. JGT-002

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice to File Missing Parts of Nonprovisional Application;
2. Executed Declaration and Power of Attorney;
3. Transmittal of Substitute Drawings;
4. Sixteen (16) Sheets of Substitute Drawings (Figures 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17 and 18);
5. Copy of Notice to File Missing Parts of Application;
6. Check in the amount of \$65.00 (surcharge based on small entity status); and
7. Pre-paid acknowledgment postcard.

No additional costs are believed to be due in connection with the filing of this Response. However, please charge any necessary fees in connection with the enclosed filing to our Deposit Order Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. *For this purpose, a duplicate of this sheet is attached.*

Certificate of First Class Mailing (37 CFR 1.8(a))

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231:

April 12, 2001

Date

Jeanne M. DiGiorgio, Esq.

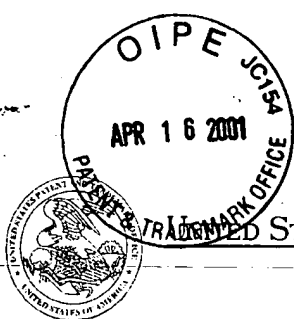
Reg. No. 41,710

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Jeanne M. DiGiorgio
Attorney for Applicant
Registration No. 41,710

Date: April 12, 2001



COPY

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/751,769	12/29/2000	Judith K. Gwathmey	JGT-002

CONFIRMATION NO. 5078

000959
LAHIVE & COCKFIELD
28 STATE STREET
BOSTON, MA 02109

FORMALITIES LETTER



OC000000005771985

Date Mailed: 02/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

04/18/2001 JADD01 00000038 09751769

01 FC:205

65.00 00

- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

713ha

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE